

# Certificate Implementation Package

Version: V1.0

Document ID: [enter document ID]

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#### **Approval History**

APPROVER(S)	TITLE/DEPARTMENT	APPROVED DATE
		YYYY-MM-DD
		YYYY-MM-DD

#### **Revision History**

VERSION NO.	DATE	SUMMARY OF CHANGE	CHANGED BY
V1.0	2017-01-10		Meenakshi Luthra
	YYYY-MM-DD		

### **Document ID**

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# **Document Sensitivity Level**

Low

# 1. Audience

This document is intended for clients who must leverage one or more PKI certificates for integration with Ontario Health Services. Readers are expected to have a general understanding of Public Key Infrastructure Certificates and an expert level of understanding of the system(s) on which the certificate(s) will be installed.

# 2. Introduction

PKI Certificates are used to secure communications between Ontario Health and client systems and are required for many Ontario Health service implementations.

Client organizations are responsible for installing certificates onto their infrastructure and for establishing internal processes to support certificate maintenance. While some installation instructions are included in this package to support this task, these instructions cannot cover all steps in all cases. Ontario Health may be able to provide additional support in such scenarios upon request.

For background information on PKI Certificates, please read the **PKI Certificate Overview**.

# **Pre-requisites**

Prior to the certificate request and issuance process, the following pre-requisites must be met:

- The organization must have signed a PKI Services Schedule with Ontario Health.
- An Application Owner (AO) should be nominated by the sponsoring organization and be registered and enrolled in the ONE® ID System. The AO is accountable for the certificate(s) issued and will be the first point of contact for issuance, management and renewal purposes. Additional information can be found in the <u>Application Owner</u> <u>Role Overview</u>
- PKI Certificates are leveraged as part of the Ontario Health Service integration and respective service(s) may have additional requirements that are not described in this document. Please contact your service deployment representative for further details.

# **PKI Certificate Request & Issuance Process**

This section summarizes the Ontario Health PKI Certificate Implementation process. For complete details, please refer to the *Certificate Process Guide*.

#### 1. Complete and Submit the Computer Application Registration Form or System Registry Form

The Application Owner completes the Computer Application Registration Form or System Registry From and submits via email to <u>ONEIDREGISTRATIONAGENTS@ONTARIOHEALTH.CA</u>.

For instructions on how to complete the form, please click <u>here</u>.

#### 2. Generate and Return the Certificate Signing Request (CSR)

Ontario Health issues a reference number to the Application Owner to generate a CSR and returns it to Ontario Health via email.

Note: Ontario Health has developed utilities that can be used for CSR generation. Please refer to <u>Ontario Health Utilities</u> for further details.

#### 3. Merge Undersigned Certificate and Private Key

Ontario Health organization returns the new undersigned certificate via email. The Application Owner merges it with their private key prior to installation. Refer to <u>Ontario Health Utilities</u> for assistance with this step.

#### 4. Install the Certificate(s)

The Application Owner installs the certificate in the appropriate certificate store and notifies Ontario Health upon successful installation.

### **3.1 Computer Application and System Registry Form**

One of two forms must be submitted to initiate the PKI Certificate Request and Issuance Process. The form used is dependent on the service implementation.

<u>**Computer Application Form**</u>: This form registers and enrolls client systems as whole, without visibility into their component parts, and is used for issuing PKI Certificates for point-to-point authentication..

For detailed information about each field, please refer the instruction guide

**System Registry Form**: This form registers and enrolls client systems based on their component parts and is used for issuing PKI Certificates for authentication via the Ontario Health HIAL.

For detailed information about each field, please refer the instruction guide.

# 4. Ontario Health Utilities

Clients are encouraged to use their organization's established tools and processes for creating CSR files and installing/managing certificates. In the absence of such tools and processes, Ontario Health has created utilities that can be leveraged for this purpose. Note that these utilities may not be compatible with all platforms.

### <u>CSRCreate</u>

CSRCreate is an OpenSSL based utility for use in CSR generation and key merger for most certificate implementations.

### **CDR Data Contribution**

CDR Data Contribution is a Java Key tool-based set of scripts specifically developed to assist in CSR generation and key merger for CDR contribution certificates for Connecting Ontario.

# 5. Instructions for 3rd Party Utilities

Clients may refer to the instructions below as a quick reference for common scenarios while leveraging their own processes / software:

How to create a Certificate Signing Request (CSR) and install on a MAC OX Tiger Server

Create a Keystore and Certificate Signing Request using the key tool utility

How to generate Certificate Signing Request for Apache HTTP Server

If additional support is required, clients should contact their vendor.

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# 6. Service-specific Guides

Service-specific guides that cover common Ontario Health implementation scenarios are provided below:

#### **One Mail Guides**

- ONE® Mail Partnered Client Deployment Guide for MS Exchange Server 2000/2003
- ONE® Mail Partnered Client Deployment Guide for MS Exchange Server 2007
- ONE® Mail Partnered Client Deployment Guide for MS Exchange Server 2010

# 7. Certificate Maintenance

Beyond initial installation of certificates, client organizations are responsible for establishing internal processes related to their maintenance. The Application Owner must be able to support such processes, either directly or by engaging appropriate resources.

### **PKI Certificate Renewal**

Certificates by Ontario Health expire after of 3 years and must be renewed to ensure that there is no interruption to services.

In advance of a certificate's expiry date, Ontario Health will notify the Application Owner via email and provide a new reference number. From this point, renewal follows the same process as certificate issuance (steps 3 -7). Refer to the *PKI Certificate Process Guide* for complete details. If renewal is not required, the AO can instead request that the renewal process be cancelled.

## **PKI Certificate Revocation**

The Application Owner must notify Ontario Health in the event that a PKI Certificate is no longer required by emailing <u>ONEIDRegistrationAgents@ontariohealth.ca</u> and indicating:

- The CN of the certificate
- The Name of the Organization
- The reason for the revocation

Revocation requests may be driven by technical changes to the client's infrastructure and/or the respective Ontario Health Service.

### **Change Management**

PKI certificates must be considered when implementing changes to related systems. It is the responsibility of the Application Owner to be aware of such changes and assist with an impact assessment. In the event that the change requires a new or replacement certificate to be issued, the Application Owner should email <u>ONEIDRegistrationAgents@ontariohealth.ca</u>, providing the CN of the existing certificate and a summary of the change. Ontario Health will work with the AO to ensure certificate requirements are met.

## **Application Owner Changes**

The client organization is responsible for transitioning the Application Owner role in response to staff turnover and/or organization restructuring. A new Application Owner should be registered and enrolled and a request submitted to <u>ONEIDRegistrationAgents@ontariohealth.ca</u> to transition ownership of the certificates. Refer to <u>Application Owner</u> for more details.